

## Summary

Meeting	Council
Date	5 June 2025
Title	Preliminary Investigation Committee Report to Council
Summary	This report describes the work of the Preliminary Investigation Committee since RCVS Council's last meeting, including by reference to key stage indicators, and provides information about the nature of concerns being considered by the RCVS.
Decisions required	None
Attachments	None
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## Classifications

Document	Classification <sup>1</sup>	Rationales <sup>2</sup>
Paper	Unclassified	n/a

## **<sup>1</sup>Classifications explained**

Unclassified	Papers will be published on the internet and recipients may share them and discuss them freely with anyone. This may include papers marked 'Draft'.
Confidential	Temporarily available only to Council Members, non-Council members of the relevant committee, sub-committee, working party or Board and not for dissemination outside that group unless and until the relevant committee or Council has given approval for public discussion, consultation or publication.
Private	The paper includes personal data which should not be disclosed at any time or for any reason, unless the data subject has agreed otherwise. The Chair may, however, indicate after discussion that there are general issues which can be disclosed, for example in reports to committees and Council.

## **<sup>2</sup>Classification rationales**

Confidential	<ol style="list-style-type: none"> <li>1. To allow the Committee or Council to come to a view itself, before presenting to and/or consulting with others</li> <li>2. To maintain the confidence of another organisation</li> <li>3. To protect commercially sensitive information</li> <li>4. To maintain public confidence in and/or uphold the reputation of the veterinary professions and/or the RCVS</li> </ol>
Private	<ol style="list-style-type: none"> <li>5. To protect information which may contain personal data, special category data, and/or criminal offence data, as listed under the General Data Protection Regulation</li> </ol>

## Preliminary Investigation Committee

### Report to Council June 2025

#### Introduction

1. This report provides information about the activities of the Preliminary Investigation Committee since the last report, and covers the period 2 March to 21 May 2025.
2. Since the last Report to Council there have been six Stage two Preliminary Investigation Committee (S2PIC) meetings (5 March, 19 March, 2 April, 16 April, 7 May and 21 May).

#### New cases considered by the S2PIC

3. The total number of new cases considered by the S2PIC at the six meetings referred to above is 13. Of the 13 new cases considered:
  - Three were concluded at first consideration by the Committee.
  - Ten were referred for further investigation, that is, further enquiries, visits and/or preliminary expert reports.
4. No cases have been referred to the RCVS Performance or Health Protocols in the reporting period.

#### Ongoing Investigations

5. The S2PIC is currently investigating 28 ongoing cases where the Committee has requested statements, visits or preliminary expert reports (for example).

#### Health Protocol

6. There are no veterinary surgeons either under assessment or currently on the RCVS Health Protocol.

#### Performance Protocol

7. There is one veterinary surgeon currently on the RCVS Performance Protocol.

#### Professional Conduct Department - Enquiries and concerns

8. Before registering a concern with the RCVS, potential complainants must make an Enquiry (either in writing or by telephone), so that Case Managers can consider with the enquirer whether they should raise a formal concern or whether the matter would be more appropriately dealt with through the Veterinary Client Mediation Service.
9. In the period 2 March 2025 to 21 May:
  - the number of matters registered as Enquiries was 691; and,
  - the number of formal Concerns registered in the same period was 152.

10. The table below shows the categories of matters registered as Concerns between 2 March 2025 and 21 May 2025. At the last Council meeting the categories in use were discussed. It was noted that “veterinary care” is the most commonly used, and a question was raised as to whether this could be broken down into more specific sub-categories (all the categories relating to chapters of the Supporting Guidance to the Code of Conduct). This change has been implemented, and the data will be available to Council in the next report.

**Concerns registered between 2 March and 21 May 2025**

Description of Category	Number of Cases
- Advertising and publicity	1
- Certification	0
- Client confidentiality	1
- Clinical and client records	5
- Clinical governance	0
- Communication and consent	10
- Communication between professional colleagues	0
- Conviction	13
- CPD compliance	0
- Delegation to veterinary nurses	0
- Equine pre-purchase examinations	3
- Euthanasia of animals	3
- Euthanasia of animals – ‘Tuk’s law’	0
- Fair trading requirements	0
- Giving evidence for court	0
- Health case ( <i>potential</i> )	1
- Illegal practice	0
- Microchipping	0
- Miscellaneous	0
- Named veterinary surgeons	1
- Practice information, fees & animal insurance	1
- Performance case ( <i>potential</i> )	1
- Recognised veterinary practice	0
- Referrals and second opinions	1
- Registration investigation	0
- Restoration application	0
- Social media and networking forums	2
- Treatment of animals by unqualified persons	0
- Use of samples, images, post-mortems and disposal	0
- Veterinary care	90
- Veterinary medicines	3

- Veterinary medicines – application of factors without physical examination	0
- Veterinary medicines – prescribing CDs/antimicrobials without physical examination	0
- Veterinary medicines – ‘under care’ query, other	0
- Veterinary teams and leaders	0
- Whistle-blowing	4
- 24-hour emergency first aid and pain relief	7
- Unassigned	5
<b>Total</b>	<b>152</b>

*Data source – Profcon computer system concerns data.*

#### **Referral to Disciplinary Committee**

11. In the period 2 March 2025 to 21 May 2025, the Committee has referred one case involving one veterinary surgeon to the Disciplinary Committee (DC).

#### **Referral to Charter Case Committee**

12. In the period 2 March 2025 to 21 May 2025, one case has been referred to the Charter Case Committee.

#### **Veterinary Investigators**

13. The Chief Investigator and Veterinary Investigators have undertaken one announced visit to a Veterinary Surgeon to check compliance with minimum practice standards, clinical records, veterinary medicines and CPD at the request of the PIC. The Chief Investigator has undertaken one unannounced visit to a disciplinary witness to serve documents.

#### **Concerns procedure**

14. As Council is aware, the process for the consideration of concerns at Stage one changed at the beginning of October 2022. The median number of weeks in which cases concluded at Stage one can be seen below.

<b>Month in which case concluded</b>	<b>Median number of weeks taken</b>
February 2023	13
March 2023	13.3
April 2023	14.9
May 2023	14.3
June 2023	14.4
July 2023	15
August 2023	15.9
September 2023	13.4
October 2023	12.6
November 2023	18.3
December 2023	11.5
January 2024	16
February 2024	15
March 2024	17.6
April 2024	15

May 2024	12.9
June 2024	19.9
July 2024	11.9
August 2024	15.2
September 2024	13.9
October 2024	12
November 2024	14.4
December 2024	15.9
January 2025	17.4
February 2025	13.4
March 2025	14.3
April 2025	10.1

15. PIC/DC Liaison Committee considered detailed information on the time taken by cases at Stage one at its meeting in November and discussed a new KPI timeframe in light of the data provided and the steps involved in the process. The Liaison Committee concluded that six months was an appropriate timeframe. It also concluded that it would still be helpful to provide median times taken, as this is a good indicator of the most likely duration of matters for those involved in the process.

16. In line with the above KPI, cases that commenced in September and October 2023 have been assessed retrospectively to determine what percentage of them met the six-month KPI. These can be seen below, and we continue to report on this percentage in the future.

17.

Month case started	Cases that met KPI
October 2023	94%
November 2023	87%
December 2023	84%
January 2024	86%
February 2024	93%
March 2024	87%
April 2024	90%
May 2024	85%
June 2024	75%
July 2024	97%
August 2024	91%
September 2024	91%
October 2024	91%

18. The Stage 2 KPI is currently for the PIC to reach a decision on simple cases before it within seven months. A case is deemed to be complex where the PIC requests that witness statements and/or expert evidence be obtained. At its meeting in May 2024, PIC/DC Liaison Committee, having undertaken a full review of the Stage 2 KPI, concluded that it was not appropriate to have a KPI for complex cases, in view of the specific complexities of each case. Cases are reported in detail to that Committee, which is able to discuss and monitor performance accordingly.

19. In the period 2 March 2025 to 21 May 2025, the PIC reached a decision (to close, refer to the Charter Case Committee, or refer to DC) within the relevant KPI in three out of four simple cases.
20. Four complex cases were decided. In accordance with the above, these cases (and the work of the department in general) are reported and discussed in detail at the PIC/DC Liaison Committee meeting.

**Illegal practice**

21. Since the last Report to Council (which gave information to 1 March 2025), ten new reports of suspected illegal practice have been received, and which are subject to ongoing enquiries. There is a total of 12 ongoing enquiries. These are reported in greater detail to PIC/DC Liaison Committee and a separate paper on the protocol as a whole is before Council at this meeting.