

Summary

Meeting	Council
Date	16 January 2025
Title	Preliminary Investigation Committee Report to Council
Summary	This report describes the work of the Preliminary Investigation Committee since RCVS Council's last meeting, including by reference to key stage indicators, and provides information about the nature of concerns being considered by the RCVS.
Decisions required	None
Attachments	None
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Classifications

Document	Classification ¹	Rationales ²
Paper	Unclassified	n/a

¹Classifications explained

Unclassified	Papers will be published on the internet and recipients may share them and discuss them freely with anyone. This may include papers marked 'Draft'.
Confidential	Temporarily available only to Council Members, non-Council members of the relevant committee, sub-committee, working party or Board and not for dissemination outside that group unless and until the relevant committee or Council has given approval for public discussion, consultation or publication.
Private	The paper includes personal data which should not be disclosed at any time or for any reason, unless the data subject has agreed otherwise. The Chair may, however, indicate after discussion that there are general issues which can be disclosed, for example in reports to committees and Council.

²Classification rationales

Confidential	<ol style="list-style-type: none"> 1. To allow the Committee or Council to come to a view itself, before presenting to and/or consulting with others 2. To maintain the confidence of another organisation 3. To protect commercially sensitive information 4. To maintain public confidence in and/or uphold the reputation of the veterinary professions and/or the RCVS
Private	<ol style="list-style-type: none"> 5. To protect information which may contain personal data, special category data, and/or criminal offence data, as listed under the General Data Protection Regulation

Preliminary Investigation Committee

Report to Council January 2025

Introduction

1. This report provides information about the activities of the Preliminary Investigation Committee since the last report (3 January 2025 being the date of writing the report).
2. Since the last Report to Council (which gave information to 25 October 2024), there have been four Stage two Preliminary Investigation Committee (S2PIC) meetings (6 November, 20 November, 4 December and 18 December 2024).

New cases considered by the S2PIC

3. The total number of new cases considered by the S2PIC at the four meetings referred to above is five. Of the five new cases considered:
 - two were concluded at first consideration by the Committee.
 - three were referred for further investigation, that is, further enquiries, visits and/or preliminary expert reports.
4. No cases have been referred to the RCVS Health or Performance Protocols in the reporting period.

Ongoing Investigations

5. The S2PIC is currently investigating 17 ongoing cases where the Committee has requested statements, visits or preliminary expert reports (for example).

Health Protocol

6. There are no veterinary surgeons either under assessment or currently on the RCVS Health Protocol.

Performance Protocol

7. There are no veterinary surgeons currently on the RCVS Performance Protocol.

Professional Conduct Department - Enquiries and concerns

8. Before registering a concern with the RCVS, potential complainants must make an Enquiry (either in writing or by telephone), so that Case Managers can consider with the enquirer whether they should raise a formal concern or whether the matter would be more appropriately dealt with through the Veterinary Client Mediation Service.
9. In the period 25 October 2024 to 3 January 2025:
 - the number of matters registered as Enquiries was 533; and,
 - the number of formal Concerns registered in the same period was 111.

10. The table below shows the categories of matters registered as Concerns between 25 October 2024 and 3 January 2025.

Concerns registered between 25 October 2024 and 3 January 2025

Description of Category	Number of Cases
- Advertising and publicity	0
- Appeal against DC decision	0
- Certification	1
- Client confidentiality	0
- Clinical and client records	6
- Clinical governance	0
- Communication and consent	3
- Communication between professional colleagues	0
- Conviction	1
- CPD compliance	0
- Delegation to veterinary nurses	1
- Equine pre-purchase examinations	0
- Euthanasia of animals	5
- Euthanasia of animals – ‘Tuk’s law’	1
- Fair trading requirements	0
- Giving evidence for court	0
- Health case (<i>potential</i>)	0
- Illegal practice	0
- Microchipping	0
- Miscellaneous	2
- Practice information, fees & animal insurance	1
- Performance case (<i>potential</i>)	0
- Recognised veterinary practice	0
- Referrals and second opinions	0
- Registration investigation	0
- Restoration application	0
- Social media and networking forums	4
- Treatment of animals by unqualified persons	0
- Use of samples, images, post-mortems and disposal	0
- Veterinary care	77
- Veterinary medicines	3
- Veterinary medicines – application of factors without physical examination	0
- Veterinary medicines – prescribing CDs/antimicrobials without physical examination	0

Description of Category	Number of Cases
- Veterinary medicines – ‘under care’ query, other	0
- Veterinary teams and leaders	0
- Whistle-blowing	1
- 24-hour emergency first aid and pain relief	2
- Unassigned	3
Total	111

Data source – Profcon computer system concerns data.

Referral to Disciplinary Committee

11. In the period 25 October 2024 to 3 January 2025, the Committee has referred three cases involving three veterinary surgeons to the Disciplinary Committee.

Referral to Charter Case Committee

12. In the period 25 October 2024 to 3 January 2025, no cases have been referred to the Charter Case Committee.

Veterinary Investigators

13. The Chief Investigator and Veterinary Investigators have undertaken one announced visit to a veterinary practice at the request of the Committee.

Concerns procedure

14. As Council is aware, the process for the consideration of concerns at Stage one changed at the beginning of October 2022. The median number of weeks in which cases concluded at Stage one can be seen below.

Month in which case concluded	Median number of weeks taken
February 2023	13
March 2023	13.3
April 2023	14.9
May 2023	14.3
June 2023	14.4
July 2023	15
August 2023	15.9
September 2023	13.4
October 2023	12.6
November 2023	18.3
December 2023	11.5
January 2024	16
February 2024	15
March 2024	17.6
April 2024	15
May 2024	12.9
June 2024	19.9
July 2024	11.9
August 2024	15.2
September 2024	13.9

Month in which case concluded	Median number of weeks taken
October 2024	12
November 2024	14.4
December 2024	15.9

15. PIC/DC Liaison Committee considered detailed information on the time taken by cases at Stage one at its meeting in November and discussed a new KPI timeframe in light of the data provided and the steps involved in the process. The Liaison Committee concluded that six months was an appropriate timeframe. It also concluded that it would still be helpful to provide median times taken, as this is a good indicator of the most likely duration of matters for those involved in the process.
16. In line with the above KPI, cases that commenced in September and October 2023 have been assessed retrospectively to determine what percentage of them met the six-month KPI. These can be seen below, and we continue to report on this percentage in the future. Council is asked to note that the number of cases that started in June 2024 was markedly lower than in other months, which has had an impact on the percentage that met the KPI (for example, the same number failing to meet it in the month prior would have led to a compliance rate of 85%). As always, the Profcon team strive to conclude cases as efficiently as possible.

17.

Month case started	Percentage of cases that met KPI
October 2023	94%
November 2023	87%
December 2023	84%
January 2024	86%
February 2024	93%
March 2024	87%
April 2024	90%
May 2024	85%
June 2024	75%

18. The Stage 2 KPI is currently for the PIC to reach a decision on simple cases before it within seven months. A case is deemed to be complex where the PIC requests that witness statements and/or expert evidence be obtained. At its meeting in May 2024, PIC/DC Liaison Committee, having undertaken a full review of the Stage 2 KPI, concluded that it was not appropriate to have a KPI for complex cases, in view of the specific complexities of each case. Cases are reported in detail to that Committee, which is able to discuss and monitor performance accordingly.
19. In the period 25 October 2024 to 3 January 2025, the PIC reached a decision (to close, refer to the Charter Case Committee, or refer to DC) within the relevant KPI in two out of two simple cases.
20. Four complex cases were decided. In accordance with the above, these cases (and the work of the department in general) are reported and discussed in detail at the PIC/DC Liaison Committee meeting.

Illegal practice

21. Since the last Report to Council (which gave information to 25 October 2024), three new reports of suspected illegal practice have been received, and which are subject to ongoing enquiries. There is a total of eight ongoing enquiries.